

ANNEX B

HDC CHANGED PROJECT STRATEGIC RISKS

Risk ID	Risk Name	Mandatory (cannot be closed)	Project Risk	Org Level	CP Priority	Risk Category	Status	Likelihood: Impact	Score	Risk Level	Service	Action Plan	2016/17 Q2 changes
PROJECT STRATEGIC RISKS													
632	Northhallerton Project is delayed or stalls completely, adversely affecting the Council's supply of housing and employment land.		Project	Strat	EV	Economic, Reputational	Active	5x5	25	High	Business & Economy	The situation is monitored and regular communication maintained with the developer consortium and stakeholders to ensure delivery of project	632 changed moved from Service risk to Project Strategic risk
623	Failure to deliver key infrastructure to Dalton Industrial Estate resulting in restricted economic growth.		Project	Strat	EV	Economic, Reputational	Active	5x4	20	High	Business & Economy	Submission of £1.8m grant application to LEP. Commitment form NYCC to oversee construction.	623 changed
718	Failure to secure a development partner to redevelop the prison site in accordance with the Council's objectives		Project	Strat	Corp	Economic, Reputational	Active	2x5	10	Med	Corporate Finance	Project team undertaking a procurement competitive dialogue process with appropriate financial, legal & property advice	718 NEW
699	Northhallerton Sports Village - Funding Plan cannot be resourced sufficiently to develop the village as intended		Project	Strat	HW	Partnership/ Contractual, Customer/Citizen, Reputational	Active	3x3	9	Med	Leisure & Communities	National governing bodies to be consulted with a needs driving community supported proposal. 106 Agreement to be implemented to enable suitable funding	699 NEW
703	Sowerby Sports Village - Funding Plan cannot be resourced sufficiently to develop the village as intended		Project	Strat	HW	Partnership/ Contractual, Customer/Citizen, Reputational	Active	3x3	9	Med	Leisure & Communities	National governing bodies to be consulted with a needs driven, community supported proposal. 106 Agreement to be implemented to enable suitable funding	703 NEW
692	Failure to provide accurate and timely employment advice to the WASS Route Optimisation Project could result in WASS management not correctly consulting or redeploying WASS staff. This in turn may result in grievances from the staff or UNISON which might delay the delivery of the project		Project	Strat	Corp	Economic, Reputational	Active	2x3	6	Med	Human Resources	HR team will ensure that advice is given in a timely and accurate manner. This project will be built into the Internal Operating Plan so that other work can be reprioritised. If any complex matters arise further advice will be sought	692 NEW
715	£25m loan to Broadacres Association fails because the third party no longer requires the loan, or encounters difficulties in their repayments and the income received by the council is reduced		Project	Strat	Corp	Financial	Active	3x1	3	Low	Corporate Finance	Ensure other income generating possibilities are being explored to maintain a balanced budget; monitor the third parties financial position to ensure they are in a secure position for the Council to loan the money; maintain good relations with the third party. Also note that the loan is secured with their housing stock	715 NEW
716	The Council plan does not exist and there is no understandable vision or objectives for the Council		Project	Strat	Corp	Financial, Reputational	Closed/Archived	3x1	3	Low	Corporate Finance	Ensure that the council plan is in line with the manifesto - is created on a 4 year cycle and is updated and report to Cabinet / Council annual.	716 closed
STRATEGIC RISKS													
677	Cyber attack facilitated by user error leads to loss of key ICT infrastructure resulting in reduced ability to provide ICT Services		Project	Strat	Corp	Reputational	Active	5x3	15	High	ICT (inc. Reprographics)	Compulsary annual Cyber Security training for all users, Cyber Security Incident Management Plan in place, actively monitor and review ICT security policies, participate in WARP (Warning, Advice and Reporting Point) to share knowledge and information with other Councils, arrange ICT staff training to keep up with the latest development	677 changed moved from Service risk to Project Strategic risk
153	Economic downturn leads to fewer customers / memberships and therefore reduced income		Project	Strat	Corp	Financial	Active	3x4	12	High	Leisure & Communities	Improve value and quality of products / services and alternative offerings	153 changed moved from Service risk to Project Strategic risk
423	Health of local housing market leads to reduced ability to deliver affordable housing through planning, resulting in failure to achieve corporate plan target and to address housing need		Project	Strat	SP	Customer / Citizen, Reputational, Social	Active	3x4	12	High	Strategic Housing	Affordable housing targets and thresholds to be reviewed as part of work on new Local Plan and with regard to Government priority for Starter Homes and Viability considerations. RHE focus on delivery via exception sites that are not reliant on market	423 changed risk & action plan updated
684	Proposed legislative changes including the Housing and Planning Bill.		Project	Strat	Corp	Customer/Citizen	Closed/Archived	4x3	12	High	Strategic Housing	Work with other Council departments, partners and other LAs to explore/develop innovative new approaches to mitigate any adverse impact wherever possible	684 risk closed
708	£25m loan lent to a Local Housing Association which encounters difficulties in their repayments		Project	Strat	Corp	Financial	Closed/Archived	2x5	10	Med	Corporate Finance	Monitor the Housing Association to identify any indications of difficulties. Also note that the loan is secured with their housing stock	708 closed

ANNEX B

HDC CHANGED PROJECT STRATEGIC RISKS

Risk ID	Risk Name	Mandatory Project Risk (cannot be closed)	Org Level	CP Priority	Risk Category	Status	Likelihood: Impact	Score	Risk Level	Service	Action Plan	2016/17 Q2 changes
151	Increased direct fitness competition leading to customer migration resulting in less usage/income.		Strat		Customer / Citizen, Financial	Active	3x3	9	Med	Leisure & Communities	Improve quality of existing provision, improve gym management & improve quality/quantity of frontline gym fees.	151 changed moved from Service risk to Project Strategic risk
139	Failure of the Safer Hambleton Local Delivery Group leads to non-compliance with statutory regulations		Strat		Legal	Active	2x4	8	Med	Leisure & Communities	The SHLDP is changing its operating model to Integrated Neighbourhood Management during 2016, this will include a pilot phase from Oct 2016 - Mar 2017, at which point a full review should be undertaken.	139 changed risk transferred from Business & Economy to Leisure, action plan updated
226	ICT system(s) failure leads to loss of Council operations & key channels of communication (telephones, email, website, etc.) resulting in inability of the Council to communicate and carry out transactions with Citizens.		Strat	Corp	Reputational	Active	1x5	5	Med	ICT (inc. Reographics)	ICT to revise, test and maintain a Disaster Recovery plan according to identified business needs	226 changed risk amended
149	An incident resulting in death(s) leads to impact on family/staff & reputational damage resulting in lower usage/income & failure to improve residents health.		Strat		Customer / Citizen, Economic, Environmental, Financial, Health & Safety, Legal, Reputational, Social	Active	1x5	5	Med	Leisure & Communities	Adherence to nationally prescribed safety standards, adherence to site specific safe operating practices, competent staff	149 changed moved from Service risk to Project Strategic risk
705	Failure to provide timely legal advice and assistance regarding key strategic projects results in delays to the projects with potential adverse partnership effects and reputational damage.		Strat	Corp	Partnership/ Contractual, Legal, Reputational	Active	2x2	4	Low	Legal Services	Ensure prioritisation of work and working in project deadlines for the key strategic projects to promote the Council's priorities.	705 NEW
709	Failure to set a 2017/18 Budget to reflect the Financial Strategy and the budgeted Council Tax.		Strat	Corp	Financial	Active	1x3	3	Low	Corporate Finance	Complete and challenge the Budget setting process	709 NEW